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PTO/SB/81 (02-01)

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Application Number	10/084,489
Filing Date	02/28/02
First Named Inventor	Glenn Wayne Baxter
Title	TUNABLE WAVELENGTH MULTIPLEXER
Group Art Unit	2661
Examiner Name	Not Yet Assigned
Attorney Docket Number	089795-000000US

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☐ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name

STEVEN FRISKEAU

Signature

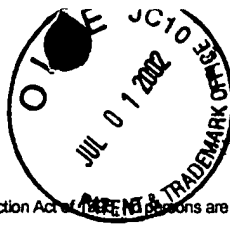
*[Handwritten Signature]*

Date

4 JUNE 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.



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Attorney Docket No.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Engana Pty Ltd.

Application No./Patent No.: 10/084,489

Filed/Issue Date: February 28, 2002

Entitled: TUNABLE WAVELENGTH MULTIPLEXER

Engana Pty. Ltd., a Australia

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_ To : \_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

**(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)**

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4 - JUNE 2002  
Date

STEVEN FRISKEN  
Typed or printed name

[Signature]  
Signature

DIRECTOR.  
Title

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